# Original Research Article <br> Efficacy of Ketamine in Anesthetic Dosage for the Treatment of Refractory Complex Regional Pain Syndrome: An Open-Label Phase II Study 

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## ABSTRACT

Objective. Advanced complex regional pain syndrome (CRPS) remains very difficult to treat. While subanesthetic low-dose ketamine has shown promise in early localized CRPS, its use in advanced CRPS has not been as effective. Since ketamine's analgesic potency and duration of effect in neuropathic pain are directly dose-dependant, we investigated the efficacy of ketamine in anesthetic dosage in refractory CRPS patients that had failed available standard therapies.

Methods. Twenty ASA I-III patients suffering from refractory CRPS received ketamine in anesthetic dosage over 5 days. Outcome criteria were pain relief, effect on the movement disorder, quality of life, and ability to work at baseline and up to 6 months following treatment.

Results. Significant pain relief was observed at 1, 3, and 6 months following treatment ( $93.5 \pm 11.1 \%, 89.4 \pm 17.0 \%, 79.3 \pm 25.3 \% ; P<0.001$ ). Complete remission from CRPS was observed at 1 month in all patients, at 3 months in 17 , and at 6 months in 16 patients. If relapse occurred, significant pain relief was still attained at 3 and 6 months $(59.0 \pm 14.7 \%, P<0.004$; $50.2 \pm 10.6 \%, P<0.002$ ). Quality of life, the associated movement disorder, and the ability to work significantly improved in the majority of patients at 3 and 6 months.

Conclusions. This open-label trial suggests benefit in pain reduction, associated CRPS symptoms, improved quality of life and ability to work following anesthetic ketamine in previously refractory CRPS patients. However, a randomized controlled trial will be necessary to prove its efficacy.

Key Words. Complex Regional Pain Syndrome; Ketamine; NMDA-Receptor; Reflex Sympathetic Dystrophy; Pain Therapy; Quality of Life

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## Introduction

The understanding of the pathophysiology of mechanisms underlying complex regional pain syndrome (CRPS) has vastly progressed in the recent years [1]. Recent evidence has been presented that suggests focal small-fiber axonal degeneration and alteration of the cutaneous innervation by small-diameter afferent and postganglionic sympathetic efferent fibers are important for its induction and maintenance $[2,3]$. Caveats of this hypothesiz have been raised by Janig and Baron [4], who propose that patients with CRPS have concomitant peripheral changes in the microenvironment at the site of injury that induce peripheral afferent sensitization, neurogenic inflammation, and sympathetic afferent coupling in addition to functional reorganization of the somatosensory, motor, and autonomic circuits in the central nervous system (CNS) [5-7].

There is general agreement that the pain in CRPS is disproportionate to the extent of the primary triggering injury that does not respect a root or nerve territory. Characteristic symptoms include severe unrelenting burning and deep pain, associated with mechano- and thermal allodynia, hyperalgesia, and hyperpathia. Swelling, autonomic dysregulation, a movement disorder, atrophy, and dystrophy are associated to varying degrees [8]. The syndrome may progress with time, and signs and symptoms may spread to sites that were not primarily affected. In some patients, it is generalized $[9,10]$. Current standard therapy consists of a variety physical, psychological, behavioral, pharmacological and interventional treatments [11-13]. Unfortunately, a subgroup of CRPS patients remains refractory to all standard therapy. For these refractory patients, no effective treatment exists [8].

Ketamine, the currently most potent clinically available $\quad \mathrm{N}$-methyl-D-aspartate (NMDA)antagonist, has a well-established role in the treatment of acute and chronic pain [14,15]. Its main action is through inhibition of NMDA-receptors, which are thought to play a crucial role in the generation and maintenance of chronic pain [16,17]. In addition to its acute analgesic effects, systemic ketamine modulates correlates of central sensitization in chronic pain states on a long-term basis. Wind-up and punctuate hyperalgesia were shown to be significantly reduced up to 7 days after surgery [18]. Ketamine administered at higher intraoperative dosage for major abdominal surgery reduced the area of wound hyperalgesia
and significantly prevented the initiation and maintenance of chronic pain [19,20]. Possible mechanisms are that these effects are mediated through NMDA-receptor inhibition, which may be critical for central sensitization, and antiinflammatory modulation of the immune system [17,21]. Proinflammatory cytokines are involved in the processes of peripheral and central sensitization and are inhibited by ketamine [22]. In the management of chronic pain, the use of ketamine at higher dosages has been limited by psychotropic side effects. The incidence and severity of ketamine side effects are dose-dependent as are its analgesic potency and duration of action [15].

Several series and case reports have documented reduction of pain intensity, allodynia and associated CRPS signs of autonomic dysregulation and motor dysfunction following the administration of subanesthetic systemic, epidural, and topical ketamine [23-26]. A recent case report and larger series demonstrated long-term pain relief from subanesthetic ketamine infusions, particularly in early and well localized CRPS [27,28]. However, in a subgroup of refractory CRPS with spreading disease, subanesthetic continuous $S(+)$ ketamine infusions were ineffective [29].

This suggested that ketamine in anesthetic dosages might be effective in this refractory CRPS subgroup. Excellent clinical results were obtained with anesthetic doses of ketamine administered on a compassionate care basis to several refractory CRPS patients (unpublished). Based on this limited clinical experience, a standardized treatment regime was developed, and utilized in the present trial. The therapeutic efficacy of ketamine in anesthetic dosage was studied in a Phase II study in 20 refractory CRPS-patients, who suffered either longstanding or rapidly progressive disease that had failed standard therapy. The primary outcome parameter was acute and long-term relief of pain. Other measures included effects on the movement disorder, quality of life, social integration, and the ability to work at 6 months following treatment.

## Methods

## Patients

The human investigation committees in Tübingen and Saarbrücken, Germany, approved the study. Patients were recruited in the pain clinics of the Department of Neurology of Drexel University College of Medicine (Philadelphia, PA) and pain clinics of the Teaching Hospital University of the Saarland (Saarbrücken, Germany). Informed
consent emphasized the experimental nature of this treatment. Special emphasis was placed on the risks associated with the intensive care component of this treatment which includes respiratory and urinary tract infections and other infectious complications such as systemic inflammatory response syndrome and sepsis. Organ failure (single or multi-organ failure), cardiovascular complications as well as the associated high morbidity and mortality rates of all of these serious complications were stressed. All patients gave their informed written consent.

## Inclusion Criteria

All patients fulfilled the 1993 IASP-CRPS diagnostic criteria, the 1999 modified research diagnostic CRPS criteria, and the proposed modified research diagnostic criteria of the 2005 Budapest conference in at least one limb [30-32]. Other associated CRPS factors [1-4] were noted to varying degrees in contiguous areas of the extremity, the face or in a mirror distribution. Cluster analysis placed all patients in subgroup; and [3] a florid CRPS syndrome [32].

The average daily pain intensity had to be 7 points or greater on a numerical rating scale (Numeric Rating Scale [NRS] endpoints 0: no pain, 10: worse pain imaginable) over a period of at least 6 months while on standard therapy. The CRPS symptomatology had to be either longstanding and spreading, or rapidly progressive. Standard conventional nonmedical (physical therapy, psychological approaches), or pharmacological and interventional treatment modalities had to have failed. Failure of therapy was defined as: 1) no benefit from treatment, or 2) no lasting pain relief ( $>2$ months). The designation "refractory" included documented failure of: 1) nonmedical; 2) pharmacological mono-, or combined therapy with nonsteroidal antiinflammatory drugs, tricyclic antidepressants, anticonvulsants, low or high potency opioids; 3) at least three interventional procedures, including selective nerve blocks, epidural analgesia, brachial plexus blocks, sympathetic ganglion blocks, intravenous regional sympathetic blocks, spinal cord stimulation, surgical sympathectomy, or intrathecal drug delivery systems; and 4) unchanged or progressing state of disease despite these efforts.

Inclusion was limited to ASA I-III patients (ASA: American Society of Anesthesiologists Physical Status Classification), which apart from their pain-related disability, did not suffer from clinically relevant systemic disease. Patients that
presented with a history of significant cardiovascular, pulmonary, renal disease or mental disorders were excluded. Further exclusion criteria included known contraindications to ketamine use (severe arterial hypertension, hyperthyroidism, ischemic heart disease or heart failure), as well as allergies to ketamine or midazolam. All patients during the course of their treatments were evaluated by a psychiatrist for counseling and support and 9 underwent detailed neuropsychological testing prior to and following treatment [33]. All patients had difficulty falling and staying asleep but this feature of their illness was not studied systematically. Patients with a history of substance or drug abuse, or a suspected somatoform pain disorder were excluded. The inclusion criteria were evaluated by three physicians, a neurologist (RJS), and two anesthesiologists (RTK, PR).

## Ketamine Treatment Protocol

Anesthesia was induced by bolus injection of ketamine ( $1-1.5 \mathrm{mg} / \mathrm{kg}$ ) and midazolam ( $2.5-7.5 \mathrm{mg}$ ). Tracheal intubation was facilitated by vecuronium $(0.1 \mathrm{mg} / \mathrm{kg})$. Treatment was maintained by infusions of ketamine over 5 days, starting at $3 \mathrm{mg} /$ $\mathrm{kg} / \mathrm{h}$, followed by gradual daily titration up to a final dose of $7 \mathrm{mg} / \mathrm{kg} / \mathrm{h}$. Midazolam was coadministered and adjusted as clinically required $(0.15-0.4 \mathrm{mg} / \mathrm{kg} / \mathrm{h})$ to obtain a stable level of deep sedation (Ramsay-Score 4-5), and to attenuate ketamine-specific side effects, i.e., agitation [34]. The first three patients were not intubated and spontaneous ventilation was allowed. The remaining 17 patients were electively intubated, to limit the risk of aspiration. These 17 patients were mechanically ventilated. After 5 days, infusions were slowly tapered, first by reducing the ketamine dosage by $20 \%$ every four hours, followed by gradual reduction of midazolam in the same manner. Patients were then weaned from mechanical ventilation and extubated once adequate spontaneous ventilation, sufficient gas exchange, and the appropriate level of consciousness together with intact protective reflexes was attained.

## Ketamine and Norketamine Plasma Concentrations

Blood samples were drawn into prefabricated EDTA-tubes (S-Monovette ${ }^{\circledR}$, Sarstedt AG \& Co., Nürnbrecht, Germany) from all patients every eight hours to determine ketamine and norketamine (the primary ketamine metabolite) plasma concentrations during anesthesia and for 3 days following treatment. Blood samples were centrifuged and plasma aliquots stored until analysis at
$-80^{\circ} \mathrm{C}$. Ketamine and norketamine plasma concentrations were analyzed by simultaneous highpressure liquid chromatography (HPLC) [35].

## Standardized Additional Drugs

Deep Venous Thrombosis and Ulcer Prophylaxis All patients received intravenous unfractionated low-dose heparin 7.500-15.000 I.E./day (Liquemin ${ }^{\circledR}$, Roche, Germany) under regular aPTT monitoring, and the proton pump inhibitor pantoprazole $40 \mathrm{mg} /$ day (Pantozol ${ }^{\circledR}$, Altana Pharma, Germany).

## Clonidine

Clonidine (Catapresan ${ }^{\circledR}$, Boehringer Ingelheim, Germany) was administered intravenously (0.20$0.85 \mu \mathrm{~g} / \mathrm{kg} / \mathrm{h}$ ) to control cardiovascular stimulation and the psychomimetic and potential neurotoxic side effects of ketamine. It was dosed as clinically required ( $0.20-0.85 \mu \mathrm{~g} / \mathrm{kg} / \mathrm{h}$ ) to control tachycardia and hypertension. The coadministration of clonidine at a minimum dose of $0.15 \mu \mathrm{~g} /$ $\mathrm{kg} / \mathrm{h}$ was maintained throughout the intensive care treatment.

## Alimentation and Glycemic Control Alimentation

The first three unintubated patients received full parenteral nutrition ( $25 \mathrm{kcal} / \mathrm{kg} /$ day) with a ternary mixture of aminoacids ( $40 \mathrm{~g} / \mathrm{L}$ ), glucose $(160 \mathrm{~g} / \mathrm{L})$, and fat $(40 \mathrm{~g} / \mathrm{L})$, containing $1040 \mathrm{kcal} / \mathrm{L}$ glucose-fat calories (Oliclinomel ${ }^{\text {® }}$ 4.0\% GF-E Baxter, Germany). Intubated patients received full enteral nutrition ( $25 \mathrm{kcal} / \mathrm{kg} /$ day ) via nasogastral tube (Nutrison Standard ${ }^{\circledR}$, Nutrisone Multifibre ${ }^{\circledR}$, Pfrimmer Nutrica, Germany, containing $1.000 \mathrm{kcal} / \mathrm{L}$, proteins $40 \mathrm{~g} / \mathrm{L}$, carbohydrates $123 \mathrm{~g} / \mathrm{L}$, fat $39 \mathrm{~g} / \mathrm{L})$.

## Glycemic Control

Intensified insulin-therapy (Actrapid ${ }^{\circledR}$, Novo Nordisk A/S, Denmark) was applied, and insulin dosed as clinically needed to maintain normoglycemia (blood glucose concentrations: $90-150 \mathrm{mg}$ / dL) [36].

## Patient Safety

## Monitoring

Continuous standard intensive care monitoring (arterial blood pressure monitoring, ECG and ST-segment analysis, core temperature, pulse oximetry, capnometry, central venous pressure) was performed in all patients. All patients had bladder catheterization.

## Blood Gas Analysis and Blood Chemistry

Blood gas analysis was routinely performed every 8 hours and additionally when clinically warranted to adjust mechanical ventilation, insulin therapy, acid-base balance, and electrolytes. Detailed blood tests were performed before the treatment, daily during treatment, and for the first 2 weeks thereafter. Laboratory evaluation included cell counts, electrolytes, coagulation parameters, liver enzymes, C-reactive protein (CRP), creatine phosphokinase (CPK), and CKMB-isoenzyme activity.

## Screening for Infectious Complications

When admitted patients were screened with pharyngeal, nose and rectal swabs for the presence of multiresistant pathogens (methycillin resistant S. aureus [MRSA]; vancomycin resistant enterococci [VRE]). During the treatment screening included continuous monitoring of core body temperature, and laboratory parameters (daily leukocyte count, CRP), urine status, and tracheal secretion and urine cultures on the first day of treatment and when respiratory or urinary tract infection was suspected clinically. In the presence of fever blood cultures were collected.

## Outcome Criteria

The patients' progress during the study, the times and nature of assessments at baseline, 1 week, 1,3 , and 6 months after treatment are summarized in a flow chart shown in Figure 1.

## Pain Assessment and Degree of Pain Relief

The degree of a patient's subjective pain intensities was rated by a numeric scale (NRS, endpoints: 0 -no pain, 10 -worst pain imaginable) at baseline and at follow-up examinations. The degree of pain relief following treatment was calculated as: percent pain relief $=\left(\mathrm{NRS}_{\text {baseline }}-\mathrm{NRS}_{\text {follow up }}\right) /$ $\mathrm{NRS}_{\text {baseline }} \times 100$.

## Movement Disorder

Data were obtained at baseline and 1,3 , and 6 months after treatment for both upper and lower extremities.

## Upper Extremity Motor Evaluation

Assessment of active range of motion was based on norms described by Kendall et al. [37]. Arm movement was quantified by utilizing a combination of the performance of specific motor tasks (placing a book in a shelf above shoulder level, ability to comb one's hair, putting on a sweater, tying an

Figure 1 Flow chart summarizing patients' progress through the study. The left side of the diagram shows the timing of the assessment of patients and the investigated treatment with anesthetic ketamine. The right side of the diagram shows he investigated outcome parameters at the different assessment times throughout the study. CRPS = complex regional pain syndrome; ICU = intensive care unit.

apron) in addition to the results of the range of motion evaluation. Hand movement assessment combined grip function (gripping and holding a cup) and pinch grip ability (gripping, holding and use of a key, pencil and writing). Based on the observed range of movement combined with performance in the described functional tasks, the movement disorder was quantified utilizing a 4 -point rating scale: 0 : normal movement; 1 : moderate disability (moderately reduced active range of motion, muscular strength, initiation, and completion of motor tasks); 2: severe disability (severely restricted active range of motion, weakness, poor initiation, and completion of motor tasks); 3: total disability (only residual movement, severe weakness, and inability to perform motor tasks).

## Lower Extremity Motor Evaluation

The assessment of motor function of the lower extremity was based on the ability to walk and was scored on a 4-point rating scale: 0 : normal movement (unimpaired walking); 1: moderate disability (inability to walk 500 meters); 2: severe disabi-
(ability to walk $<50$ meters or inability to walk).

## Quality of Life

The assessments to estimate disease-related impairments in activities of daily living, social integration, and the ability to work represent recognized aspects of quality of life. The assessments were performed at baseline and at 3 , and 6 months following therapy.

## Activities of Daily Living

Patients were asked to rate their performance of typical activities of daily living. The representative tasks of everyday life were based on selected key items contained in valid questionnaires, such as the West Haven-Yale Multidimensional Pain Inventory (WHYMPI) and the Stanford Health Assessment Questionnaire (HAQ) [38,39]. Patients were instructed to rate their ability to independently perform the following tasks: self-care (preparing meals and eating [cutting food], drinking, dressing, washing, drying, and combing), and household activities (house cleaning, grocery shopping, washing dishes, and gardening). The degree of impairment was rated using a 4-point numeric scale: 0: no impairment (all tasks can be performed independently), 1: moderate impairment (tasks can be accomplished but with difficulty), 2 : severe impairment ( $<50 \%$ of activities can be performed independently); 3: total impairment (majority of tasks cannot be performed; dependent on the help of others).

## Social Integration

Patients were queried in regard to their ability to function socially and rated their overall impairment. Representative activities were chosen from the aforementioned validated questionnaires (WHYMPI, HAQ). Patients were asked to rate their ability to perform recreational activities (pursuing hobbies, playing sports, taking trips, seeing friends/relatives, reading, going out), cultural activities (attending concerts, movies, theatre). The degree of impairment was rated using a 4 -point numeric rating scale: 0 : no impairment, 1 : moderate impairment (all activities can be performed, but with difficulty), 2: severe impairment ( $<50 \%$ of activities can be performed independently), 3: total impairment (majority of activities cannot be performed and the patient is dependent on the help of others).

## Ability to Work

The ability to work was rated on a 4-point scale: 0 : no impairment, 1: moderate impairment (able to work more than $4 \mathrm{~h} /$ day but less than $8 \mathrm{~h} /$ day), 2 : severe disability (able to work up to $4 \mathrm{~h} /$ day), 3 : total impairment (able to work only $2 \mathrm{~h} /$ day or totally unable to work).

## Side Effects of Treatment <br> Ketamine-Specific Side Effects

Psychotomimetic side effects: the occurrence, duration, and severity of ketamine-specific psy-
chotomimetic side effects were documented following treatment. These included: anxiety, hallucinations, restlessness, difficulty in concentration, disruption of sleep, dizziness, dysphoria, euphoria, and disorientation.

## Other Adverse Treatment Effects

These included all potential adverse effects associated with the intensive care nature of the treatment, such as respiratory, urinary tract or systemic infection, and cardiovascular and pulmonary complications. The occurrence of these complications, their treatment and resolution were documented.

## Statistics

Data were analyzed using the statistical software package JMP IN (Version 5.1.2, SAS Institute, Cary, NC). The Kolmogorov-Smirnov test was used to assess normality. Nonparametric paired $t$-tests on ranks were used to analyze differences between baseline and those obtained during and following therapy for not normally distributed data. Normally distributed data were analyzed by paired $t$-tests. Alpha was set at 0.05 . For multiple comparisons the alpha correction of Bonferroni was performed.

## Results

## Patient Demographics

Twenty ASA-Class I-III patients were enrolled and completed the study ( 18 female and two male; mean age $30.4 \pm 10.4$ years, range: $14-48$ years). The mean duration of CRPS was $49.4 \pm 25.0$ months (range: 6-84 months). All patients suffered from severe or spreading CRPS. Two had rapid contiguous spread affecting the entire extremity, two suffered from mirror spread, and 16 had generalized CRPS. All patients had been unresponsive to multiple conventional treatments and had failed standard pharmacological therapy and numerous invasive procedures (Tables 1-4).

## Pain Intensities and Pain relief

## Pain Intensities

Pain intensities were analyzed for the entire group, as well as for the subgroup of patients with recurring initiating or maintaining pain (nociceptive or neuropathic, but without associated CRPS signs or symptoms) and the subgroup with relapsing CRPS (neuropathic pain and associated CRPS signs and symptoms).

At baseline, pain intensity of the entire group ( $\mathrm{N}=20$ ), and of the subgroups with later recurring
Table 1 Characterization of CRPS-status at baseline: patients' age, gender, American Society of Anesthesiologists Physical Status Classification (ASA-Class), and CRPS-related characteristics at baseline: triggering injuries, sites of primary CRPS manifestation, duration of disease (months), the type of spread, the status of disease spread at baseline, and the pain intensity at baseline (NRS: 0: no pain, to 10: worst pain imaginable)

| Patient <br> No. | Age <br> (Years) | Gender | ASA-Class | Triggering Injury/CRPS Manifestation | CRPS Duration (Months) | Type of Spread | Status of Spread Baseline | Pain Intensity (NRS: 0-10) Baseline |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 16 | f | 1 | Sprain injury/right wrist and hand | 8 | Contiguous | Entire right arm | 9 |
| 2 | 26 | f | I | Brachial plexus traction injury/right shoulder | 12 | Mirror | Shoulders and arms bilaterally | 9 |
| 3 | 25 | m | II | Hodgkin's disease, compression of brachial plexi by lymphoma/shoulders | 24 | Mirror | Shoulders and arms bilaterally | 9 |
| 4 | 46 | f | II | Brachial plexus traction injury/right arm | 60 | Contiguous, Mirror | Left arm | 9.5 |
| 5 | 29 | f | II | Electrical shock/right arm | 30 | Contiguous | Right arm, shoulder, face | 8.5 |
| 6 | 46 | f | III | Crush injury right ankle and foot, operative osteosynthesis/ right foot | 72 | Contiguous | Right arm, right face | 8.5 |
| 7 | 28 | f | III | Trauma to lower back/right leg | 60 | Contiguous, Mirror | Left leg, right arm, right face | 9.5 |
| 8 | 42 | f | II | Cruciate ligament tear, tibial impression fracture/right knee | 30 | Contiguous | Right leg | 8.5 |
| 9 | 22 | f | II | Tendon rupture digit IV, operative repair/right hand | 72 | Contiguous | Right face, right leg | 9 |
| 10 | 19 | f | II | Fracture metatarsal-V/right foot | 60 | Mirror, Contiguous | Left leg, right face | 9 |
| 11 | 20 | $f$ | II | Trauma to right shoulder and lower back/right arm | 36 | Mirror, Contiguous | Left arm, right face | 9 |
| 12 | 35 | f | III | Trauma to right shoulder and lower back/right arm | 72 | Mirror | Left shoulder, left arm | 9 |
| 13 | 38 | f | III | Crush injury digit-III right hand, infection and amputation/ right hand | 24 | Mirror, Contiguous | Right face, right leg | 9 |
| 14 | 19 | m | II | Sprain injury wrist/right hand | 84 | Mirror, Contiguous | Left arm, right face, right leg | 9 |
| 15 | 36 | f | II | Para-venous i.v.-line/left hand, left forearm | 60 | Contiguous | Left arm, left face | 9 |
| 16 | 25 | f | II | Arnold Chiari repair operation/left shoulder, arm | 25 | Contiguous | Left face, left leg | 9 |
| 17 | 48 | f | II | Extension/distension trauma/right hand | 72 | Mirror | Right leg, right face | 8.5 |
| 18 | 41 | f | II | Car accident, whiplash injury/right arm | 84 | Contiguous | Right arm, right face, left upper leg | 9 |
| 19 | 14 | f | III | Brown recluse spider bite inner right thigh/right thigh and leg | 7 | Mirror, Contiguous | Left face, left arm | 9.5 |
| 20 | 33 | f | II | Tibial torsion fracture, osteosynthetic operation/left lower leg | 63 | Mirror, Contiguous | Right lower leg | 9 |

CRPS = complex regional pain syndrome; NRS = Numeric Rating Scale.

Table 2 Demographics: summarizes statistic data of patients' demographics for the entire group of patients, and the analyzed subgroups: recurring pain (all patients with recurring pain, either neuropathic, nociceptive, or both at one of the follow-ups), CRPS-relapse (all patients with a CRPS-relapse), and results of the statistical comparison of differences between the entire group and the subgroups (exact p-values)

|  |  | Entire Group | Subgroup: Recurring Pain from Initial Injury | Subgroup: CRPS-Relaspse |
| :---: | :---: | :---: | :---: | :---: |
|  | N | 20 | 9 | 4 |
| Age (years) | (Mean $\pm$ SD) | $30.4 \pm 10.7$ | $30.7 \pm 8.2$ | $33.7 \pm 11.9$ |
|  | Range (min-max) | 34 (14-38) | 23 (19-42) | 26 (20-46) |
|  | $P$ Value |  | 0.95 | 0.58 |
| Weight (kg) | (Mean $\pm$ SD) | $68.4 \pm 18.7$ | $68.6 \pm 15.9$ | $68.7 \pm 31.6$ |
|  | Range (min-max) | 67.3 (48.5-115.8) | 49 (48.5-97.5) | 66.0 (49.8-115.8) |
|  | $P$ Value |  | 0.99 | 0.98 |
| Height (cm) | (Mean $\pm$ SD) | $167.6 \pm 10.7$ | $168.9 \pm 12.6$ | $168.0 \pm 12.6$ |
|  | Range (min-max) | 42.0 (152-194) | 42.0 (152-194) | 29.0 (154-183) |
|  | $P$ Value |  | 0.78 | 0.95 |
| Duration of CRPS (months) | (Mean $\pm$ SD) | $49.4 \pm 25.6$ | $49.7 \pm 22.8$ | $60.0 \pm 19.6$ |
|  | Range (min-max) | 78 (6-84) | 60 (24-84) | 48 (36-84) |
|  | $P$ Value |  | 1.0 | 0.59 |

CRPS = complex regional pain syndrome.
pain, and relapsing CRPS were NRS $8.9 \pm 0.3$, $8.8 \pm 0.2$, and $9.2 \pm 0.2$ (mean $\pm$ SD), respectively, and no statistically significant differences between the groups were detected.

Following ketamine treatment, a significant reduction of pain intensity was observed at 1 week and 1 month for the entire group (NRS $0.5 \pm 0.8$, and $0.6 \pm 1.0$ ), and the subgroup with recurring pain $(1.4 \pm 0.7$, and $1.7 \pm 1.1, \mathrm{~N}=7)(P<0.001)$. At 3 months, pain intensity was significantly ( $P<0.001$ ) reduced compared with baseline in the entire group (NRS $0.9 \pm 1.6$ ) and the subgroup with recurring pain ( $2.0 \pm 0.9, \mathrm{~N}=4$ ). Three patients had a CRPS relapse, but had significantly reduced pain compared with baseline (NRS $3.8 \pm 1.4, P<0.004$ ). Pain intensity at 6 months was significantly reduced for the entire group of patients ( $2.0 \pm 2.4, P<0.001$ ), the subgroups with recurring pain ( $3.6 \pm 2.0, P<0.001, \mathrm{~N}=6$ ), and those with a CRPS relapse ( $4.6 \pm 1.1, P<0.002$, $\mathrm{N}=4$ ). The results are summarized in Figure 2.

## Pain Relief

The calculated percentage of pain relief was significant following ketamine treatment at 1 week (mean $\pm$ SD: $94.5 \% \pm 8.9$, and at 1,3 , and 6 months $(93.5 \% \pm 11.1,89.4 \% \pm 17.0,79.3 \% \pm$ $25.3)$ in the entire group of patients ( $P<0.001$ ). Analyses for the subgroup with recurring pain showed significant pain relief at 1 week $(84.4 \% \pm$ 8.22, $\mathrm{N}=7, P<0.001$ ), and 1,3 , and 6 months $(81.4 \% \pm 11.5, \quad 77.8 \% \pm 10.1$, and $64.32 \% \pm$ 23.8, $\mathrm{N}=7,4$, and $6, P<0.001$ in all), respectively.

Pain relief in the subgroup of CRPS patient with relapse was maintained at 3 , and 6 months $(59 \% \pm$
14.7, $\mathrm{N}=3, P<0.004$, and $50.21 \% \pm 10.6, \mathrm{~N}=4$, $P<0.002$ ). Figure 2 summarizes the results.

## Movement Disorder

Upper Extremity
For statistical analyses, the separately assessed scores the impairment of movement in the arm and hand of each side of the body was added to a total score for hands and arms. Thus, the minimal sum score was 0 (normal bilateral movement) and maximal 6 (total bilateral impairment). All patients $(\mathrm{N}=20)$ showed impaired movement in the upper extremities.

At baseline a sum score of $3.2 \pm 1.2$ (mean $\pm$ SD) for movement in the arms, and $3.7 \pm 1.2$ for movement in the hands was documented $(\mathrm{N}=20)$. At 1,3 , and 6 months, a significant $(P<0.001)$ reduction of the sum score was noted for the movement impairment in the arms ( $1.4 \pm 0.83,0.5 \pm 0.8$, and $0.4 \pm 0.8$ ), and hands ( $1.6 \pm 0.8,0.5 \pm 0.9$, and $0.5 \pm 0.8$ ), respectively.

## Lower Extremity

Statistical analyses of scores for decreased movement in the lower extremities were based on the direct scores of the aforementioned 4-point-based numeric rating scale. Of the entire group, only those with a movement disorder in the lower extremity were included for statistical analyses. At baseline, patients with movement disorder of the lower extremity $(\mathrm{N}=15)$ had a score of $2.3 \pm 0.7$ (mean $\pm \mathrm{SD}$ ). Following treatment, their impairment was significantly reduced at 1,3 , and 6 months $(1.3 \pm 0.9,0.6 \pm 0.7$, and $0.6 \pm 0.6$;
Table 3 Failed physiotherapy and pharmacotherapy: summarizes the individual patients' failed physiotherapeutic and pharmacotherapeutic approaches at baseline

| Patient No. | Physiotherapy | Pharmacotherapy |  |  |  |  |  |  | Topical Pharmca |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | NSAID | Antidepressants | Anticonvulsants | Spasmolytics | Sodium-Channel-Blocker | Low-Potent Opioids | High-Potent Opioids | Lidocaine | DMSO |
| 1 | + | + | + |  |  |  | + | + |  |  |
| 2 | + | + | + | + | + | + |  | + |  | + |
| 3 | + | + | + | + | + |  |  | + |  |  |
| 4 | + | + | + | + | + | + | + | + |  | + |
| 5 | + | $+$ | + | + | + |  | + | + |  | + |
| 6 | + | + | + | + | + |  | + | + |  | + |
| 7 | + | $+$ | + | + | + |  | + | + |  |  |
| 8 | + | + | + | + | + | + | + | + | + |  |
| 9 | $+$ |  | + | $+$ | + |  |  | + |  |  |
| 10 | + | + | + | + | + |  |  | + |  |  |
| 11 | + | + | + | + | + |  |  | $+$ |  |  |
| 12 | + | + | + | $+$ | + |  |  | + |  |  |
| 13 | + | + | + | + | + |  |  | + |  |  |
| 14 | + | $+$ | + | $+$ |  |  | + | + |  |  |
| 15 | + |  | + | + | + |  | + | + |  |  |
| 16 | + |  | + | + | + |  |  | + |  |  |
| 17 | $+$ | + | + | + |  |  | + | + | + | + |
| 18 | $+$ | $+$ | + |  | + |  | + | + |  |  |
| 19 | + | + | + | + | + |  |  | + |  |  |
| 20 | + | + | + | + | + |  | + | + |  | + |

The " + " indicates, which treatments have been performed and failed, defined as being without primary effect, or no lasting (>2 months) on pain relief.
NSAID $=$ nonsteroidal anti-inflammatory drugs; DMSO $=$ dimethylsulfoxid containing ointment; IVRSB $=$ intravenous regional sympathetic blockade.
Table 4 Failed Interventional therapies: summarizes for the individual patients' failed interventional treatments at baseline

| Patient <br> No. | Trigger-PointInfiltrations | Nerve-Blocks |  | Sympathetic Blocks |  |  |  |  |  |  | i.v. <br> Lidocaine | Spinal Cord Stimulation | Intrathecal Systems |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Selective Nerve Blocks | Brachial <br> Plexus <br> Block | IVRSB | Intrapleural Block | Stellate Ganglion Blocks | Cervical Epidural | Thoracic Epidural | Lumbar <br> Epidural | Lumbar Sympathetic Chain Block |  |  |  |
| 1 |  | 2 | 2 | 1 |  | 3 |  |  |  |  |  |  |  |
| 2 |  |  |  |  | 2 |  |  |  |  |  | 3 |  |  |
| 3 |  |  |  |  | 3 |  |  |  |  |  | 2 |  |  |
| 4 | >8 | >4 |  | 2 | 2 | >4 |  |  | >3 |  | 3 |  |  |
| 5 | $>10$ | >2 |  |  | >3 |  |  |  |  |  | 3 |  |  |
| 6 | >4 | >4 |  | 2 |  |  |  |  | 4 | 2 | 4 |  | + |
| 7 |  | >6 |  |  |  |  |  |  | >4 | 2 | 2 | + | + |
| 8 |  | >8 |  |  |  |  |  |  | 2 | 1 | 1 |  |  |
| 9 | >4 | >5 | 2 | 2 | 2 | >6 |  |  |  |  | 2 |  |  |
| 10 | 5 | >6 |  |  | 2 |  |  |  | >3 | 1 | 2 | + |  |
| 11 | >4 | >5 |  | 2 | 2 | >6 |  |  | 2 |  | 1 |  |  |
| 12 | >6 | >6 |  |  | 2 | >4 |  |  | >4 | 1 | 2 | + |  |
| 13 | >8 | >8 | 3 | >5 | 1 |  |  | 1 | 1 |  |  |  |  |
| 14 | >8 | >8 |  | 2 | 1 | >6 | 2 | 1 |  |  | 1 |  |  |
| 15 | $>6$ | >8 |  |  | 2 | $>6$ | 1 |  | 3 |  | 2 | + |  |
| 16 | >4 | >6 | 2 |  |  | >4 |  |  |  |  | 1 |  |  |
| 17 | >10 | >8 | 2 |  |  | >8 |  |  | >3 |  | 2 |  |  |
| 18 | >6 | >4 |  | 2 |  | 2 |  |  |  |  | 1 |  |  |
| 19 |  | 3 |  | 1 |  |  |  |  | 1 |  | 1 |  |  |
| 20 | >8 | $>11$ |  | 2 |  |  |  |  | 3 | 2 | 2 | + | + |

 on pain relief.
IVRSB = intravenous regional sympathetic blockade.


Figure 2 The pain intensities $(A)$ and the degree of pain relief $(B)$ before and following the treatment. Part $(A)$ shows the pain intensities (NRS: 0-10, data presented as mean $\pm$ SD) of the entire treatment group ( $N=20$ ) for baseline, at 1 week, and 1,3 , and 6 months following treatment and significant differences compared with baseline ( ${ }^{*} P<0.001$ ), and the results of the subgroup analyses for patients with recurring pain ( $\mathrm{N}=7$ at 1 week, and 1 month, $\mathrm{N}=4$ at 3 , and $\mathrm{N}=6$ at 6 months) and significant differences compared with baseline, as well as results for the subgroup with relapsing CRPS ( $\mathrm{N}=3$ at 3 months, $\mathrm{N}=4$ at 6 months) and significant differences compared with baseline ( $+P<0.004$; \#P<0.0029). Part (B) summarizes the percentage of pain relief following the treatment. Data are presented as means $\pm$ SD for the entire group and the subgroups with recurring pain, and relapsing pain, respectively. Significant degrees in the percentage of pain relief are indicated ( ${ }^{*} P<0.001 ;+P<0.004 ; \# P<0.002$ ). NRS $=$ Numeric Rating Scale; CRPS $=$ complex regional pain syndrome.
$\mathrm{N}=15, P<0.001$ ). Figure 3 summarizes the results.

## Quality of Life

## Activities of Daily Living

At baseline, the ability to independently accomplish activities of daily living was rated as severely impaired by seven, and as totally impaired by 13 patients, with a mean score of $2.35 \pm 0.4$
(mean $\pm$ SD) for the entire group. At 3 months, the impairment was rated as severe by one, as moderate by 12 , and as not impaired by seven patients, with a mean score of $0.7 \pm 0.6$, and a significant difference compared with baseline ( $P<0.001$ ). At 6 months, there was a significant difference in the ability to perform activities of daily living compared with baseline. One patient rated total impairment, three severe impairment,


Figure 3 The changes for the movement disability score (4-point rating scale: 0 : normal movement, 3 : total impairment) of the different assessment times. Data are presented as means $\pm$ SD for baseline, and the follow-ups at 1, 3, and 6 months. (A) Upper extremity: data show the results of a sum-score (movement disability scores of both body sides were added, thus a minimal score of 0 (normal bilateral movement), and 6 (total impaired bilateral movement) for impairment of movement in arms and hands, and significant differences compared with baseline ( ${ }^{*} P<0.001$ ). (B) Lower extremity: results and significant differences in the movement disability score for the lower extremity at baseline and the follow up assessments ( $P<0.001$ ).


Figure 4 The results for the assessments of quality of life: the impairment in activities of daily living, the impairment in social integration, and the ability to work. Patients rated their impairment on a 4-point rating scale ( 0 : no impairment, 3: total impairment). Part (A) shows the absolute number $(\mathrm{N})$ of patients in each category of impairment at baseline and the followups, and significant differences compared with baseline ( ${ }^{*} P<0.001$ ). (B) Severity of impairment: the impairment scores for the entire group for impairment of activities of daily living, social integration, and the ability to work at baseline, 3 , and 6 months and significant differences compared with baseline ( ${ }^{*} P<0.001$ ).
six moderate impairment, and 10 patients no impairment for a mean score of $0.7 \pm 0.9$ ( $P<0.001$ ). Results are shown in Figure 4.

## Social Integration

The impairment in social integration prior to treatment was rated as complete by 11 patients and severe by nine. Their mean impairment score was $2.5 \pm 0.5$. At 3 months, their impairment was rated as severe by one, as moderate by 10 , and nine were unimpaired. Their mean score of $0.6 \pm 0.6$, was significantly improved compared with their pretreatment baseline $(P<0.001)$. At 6 months, there was significant improvement in the group with one patient rating total impairment, two severe impairment, six moderate impairment, and 11 patients no impairment (mean score of $0.6 \pm 0.8 \quad(P<0.001)$. Results are shown in Figure 4.

## Ability to Work

The impairment in the ability to work prior to treatment was rated as complete by 11 , severe by 5 , and as moderate by four patients (mean impairment score of $2.3 \pm 0.8$ ). At 3 months, the impairment in ability to work was rated as complete and severe by
one patient in each category, as moderate by eight, and as not impaired by 10 patients (mean score of $0.6 \pm 0.8$ ), which was significantly improved compared with their baseline ( $P<0.001$ ). At 6 months, there was significant improvement in the ability to work as only two patients in the cohort were unable to work, four had moderate impairment, and 14 patients had no impairment (mean score of $0.5 \pm 0.9)(P<0.001)$. Results are shown in Figure 4 and Table 5.

## Ketamine and Norketamine Plasma Concentrations

High-pressure liquid chromatography analysis of ketamine and norketamine plasma levels was in 18 patients. The sampling and analysis of two patients was incomplete, because of initial technical difficulties and therefore were not included in the analyses. Figure 5 summarizes the plasma concentrations for ketamine and norketamine.

## Side Effects

## Ketamine-Specific Side Effects

## Psychotropic Ketamine Side Effects

Psychotropic side effects that included anxiety, dysphoria, nightmares, and difficulties with sleep
Table 5 Individual outcome following anesthetic ketamine: the individual patients' outcome for: pain response (data shown for the follow-ups at 1,3 , an 6 months),

 (0: normal walking-3: total impairment), and the impairment in the assessed aspects of quality of life: every day activities, social life activities, and working capacity

| Patient No. | Pain |  |  | Movement Disorders |  |  | Activities of Daily Living |  |  | Social Integration |  |  | Ability to Work |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 Month | 3 Month | 6 Month | Baseline | 3 Months | 6 Months | Baseline | 3 Months | 6 Months | Baseline | 3 Months | 6 Months | Baseline | 3 Months | 6 Months |
| 1 | FR | FR | FR | 3/3/0 | 0/0/0 | 0/0/0 | TI | NI | NI | TI | NI | NI | TI | NI | NI |
| 2 | FR | FR | FR | 4/5/0 | 0/0/0 | 0/0/0 | SI | NI | NI | SI | NI | NI | SI | N | N |
| 3 | RP | FR | FR | 4/4/0 | 0/0/0 | 0/0/0 | SI | MI | NI | SI | MI | NI | SI | N | NI |
| 4 | RP | CRPS | CRPS | 4/5/2 | 2/2/1 | 2/2/1 | SI | MI | SI | TI | MI | SI | TI | MI | TI |
| 5 | FR | FR | RP | 2/3/0 | 1/0/0 | 1/1/0 | SI | MI | SI | SI | NI | SI | TI | MI | NI |
| 6 | FR | FR | FR | 5/5/3 | 0/0/0 | 0/0/0 | TI | MI | MI | TI | MI | NI | TI | MI | NI |
| 7 | RP | CRPS | CRPS | 4/5/3 | 2/3/3 | 2/3/3 | TI | SI | TI | TI | SI | TI | TI | TI | TI |
| 8 | FR | FR | RP | 2/4/2 | 0/0/1 | 0/0/1 | SI | MI | MI | SI | MI | MI | MI | NI | NI |
| 9 | FR | FR | FR | 2/3/1 | 0/0/0 | 0/0/0 | SI | NI | NI | SI | NI | NI | SI | NI | NI |
| 10 | RP | FR | FR | 2/3/2 | 0/0/0 | 0/0/0 | TI | NI | NI | TI | NI | NI | MI | NI | NI |
| 11 | RP | CRPS | CRPS | 2/3/3 | 2/2/1 | 2/2/1 | SI | MI | SI | SI | MI | MI | MI | MI | MI |
| 12 | RP | RP | RP | 5/5/3 | 2/2/2 | 2/2/2 | TI | MI | MI | TI | MI | MI | TI | MI | MI |
| 13 | RP | FR | FR | 5/6/3 | 1/0/0 | 0/0/0 | TI | MI | NI | TI | MI | NI | TI | MI | NI |
| 14 | FR | FR | RP | 4/2/2 | 1/1/0 | 0/1/0 | SI | MI | NI | TI | NI | NI | MI | N | NI |
| 15 | FR | RP | RP | 2/2/1 | 0/0/0 | 0/0/0 | SI | MI | MI | TI | MI | MI | SI | MI | NI |
| 16 | FR | FR | FR | 3/3/2 | 0/0/0 | 0/0/0 | SI | NI | NI | SI | NI | NI | SI | N | N |
| 17 | FR | FR | FR | 1/1/0 | 0/0/0 | 0/0/0 | SI | MI | NI | SI | NI | NI | TI | N | NI |
| 18 | RP | RP | CRPS | 4/4/2 | 0/1/1 | 0/0/1 | SI | MI | MI | TI | MI | MI | TI | MI | MI |
| 19 | FR | FR | FR | 4/4/3 | 0/0/0 | 0/0/0 | TI | NI | NI | TI | NI | NI | TI | N | NI |
| 20 | FR | RP | RP | 3/4/2 | 0/0/1 | 0/0/1 | SI | NI | MI | SI | MI | MI | TI | MI | MI |

$\mathrm{FR}=$ full remission; RP = recurring pain; CRPS = complex regional pain syndrome-relapse; $\mathrm{NI}=$ no impairment; $\mathrm{MI}=$ moderate impairment; $\mathrm{SI}=$ severe impairment; $\mathrm{TI}=$ total impairment.


Figure 5 Ketamine and norketamine plasma concentrations. Summarizes by the HPLC determined plasma concentrations for racemic ketamine and the primary active metabolite norketamine ( $\mu \mathrm{g} / \mathrm{mL}$ ) over the five treatment days with anesthetic days and subsiding in the three consecutive days after anesthetic ketamine treatment. HPLC = high-pressure liquid chromatography.
were observed in the majority of patients upon emergence from ketamine anesthesia. The intensity of these ketamine-specific side effects was most severe in the initial days following emergence from anesthesia and resembled an acute withdrawal. These symptoms were successfully treated with small doses of clonidine and/or benzodiazepines. The psychotropic side effects faded within the first week following treatment in the majority of patients. However, five patients reported difficulties with sleeping and recurring nightmares for a month following treatment. Muscular weakness was reported in all patients for as long as 4-6 weeks following treatment.

## Adverse Treatment Effects

## Infectious Complications

No major or life threatening complications were observed. The majority of complications were infections associated with the intensive care nature of treatment. Seven patients had respiratory infections, tracheobronchitis in five, and pneumonia in two patients. Fever was observed early (within 24-48 hours) following the initiation of anesthetic doses of ketamine, with concomitant leucocytosis $(12,000-16,000 / \mu \mathrm{L})$ and elevation of the CRP ( $6-25 \mathrm{mg} / \mathrm{dL}$ ). Culture of tracheal secretions revealed S. aureus (methycillin sensible S. aureus, $\mathrm{N}=6$ ), Klebsiella pneumoniae ( $\mathrm{N}=2$ ), and Proteus mirabilis $(\mathrm{N}=1)$, as the pathogens in these cases. Lower urinary tract infections were seen in six patients, and urine cultures revealed enterococcus species (E. faecium, E. faecalis), and E. coli as the
pathogens. These infectious complications were successfully treated with antibiogram-guided antibiotic therapy.

## Laboratory Evaluation

During treatment, transient rises in liver enzymes, CPK and CKMB were observed. Blood tests prior to the start of therapy revealed elevated liver enzymes ( $\gamma$-GT: 20-60 U/L in five patients, and GOT: $20-38 \mathrm{U} / \mathrm{L}$ in five patients), all of whom had been taking combinations of analgesics, antidepressants, and seizure medications. Under anesthesia, elevations of liver enzymes were noted in 16 patients for $\gamma$-GT (range: 30-94 U/L), GOT (range $30-98 \mathrm{U} / \mathrm{L}$ ), and GPT ( $20-94 \mathrm{U} / \mathrm{L}$ ), the maximal elevations occurred on days 5-6 of treatment. Elevations in CPK (range: 20-800 U/L) were observed in 16 patients, all of whom had normal ratios for CPK/CKMB which where below $10 \%$. Both the elevation of liver enzymes and CPK decreased following treatment and returned to reference values within 10-14 days.

## Discussion

This open-label study suggests an impressive effect of anesthetic ketamine in advanced and refractory CRPS patients. Pain scores were significantly improved and long-term complete pain relief was observed in $50 \%$ of patients. Patients that suffered recurring pain alone and recurring pain in conjunction with a CRPS relapse also maintained significant relief during the course
of the study. In addition, there was significant improvement of the movement disorder, ability to perform activities of daily living, and the ability to work in concert with the decrement in pain. However, the dramatic nature of the intervention would be expected to cause a strong placebo response and the nonrandomized uncontrolled design of this study leave its results suggestive but unproven.

There are many possible mechanisms that underlie the marked and long-lasting effects of anesthetic ketamine in these severely affected CRPS patients. Because this is an open-label phase II study with lack of controls, the results may not be completely attributable to ketamine. Anesthetic doses of ketamine have not been studied in the therapy of chronic pain states. Existing evidence for the efficacy of ketamine in chronic pain disorders was obtained by utilizing low subanesthetic dose protocols primarily for neuropathic pain states other than CRPS. The first data on the beneficial effects of ketamine for CRPS were obtained from case reports and small case series [23-26,28]. In these studies, subanesthetic ketamine was administered via systemic, epidural or topical routes and provided dramatic relief from pain and associated CRPS symptoms in some patients. However, these studies differ in the routes of ketamine administration, dosage, treatment time, patient clinical profiles, and the duration of observation following treatment. The main limitations in determining the benefit of ketamine in these studies are sample size, lack of a control population and standardization of the treatment and measurement protocols. Long-term pain relief for 8 months was observed following a 10 -day course of epidural ketamine $(0.25 \mu \mathrm{~g} / \mathrm{kg} / \mathrm{h})$ in a patient with lower extremity CRPS [23]. Harbut utilized continuous subanesthetic ketamine for 6 days in a patient that had suffered 9 years of CRPS and achieved pain relief for 5 months [28]. Recently, a larger-scale retrospective case series described long-term relief from pain following continuous low-dose ketamine [27]. In this series, the best response to ketamine was observed in patients with early CRPS whose symptoms and signs were well localized to the distal aspects of one extremity. In a subgroup of refractory CRPS patients, we recently showed subanesthetic continuous $S(+)$-ketamine ( $500 \mathrm{mg} /$ day ) administered over 10 days (exceeding the equianalgesic ketamine dosages used by Correll) was ineffective in relieving pain or attenuating severe thermal and mechanical allodynia [29]. To our knowledge, there are no randomized controlled
trials on the efficacy of ketamine in the treatment of CRPS.

Complex regional pain syndrome is generally thought to be a subset of neuropathic pain $[2,4]$. Although as noted above, inflammatory components are often predominant in early stages [40,41], the exact pathophysiology is unknown but strides have been made in the understanding of possible mechanisms that underlie the generation and maintenance of this possible neuropathic pain [8,17]. A critical role for NMDA-receptors that contribute to central sensitization in chronic neuropathic pain is well established [16,17]. Consequently, the efficacy of several NMDA-receptor antagonists has been investigated in various neuropathic pain conditions. In human and animal studies, ketamine was shown to have a dosedependent effect on neuropathic pain features, such as secondary hyperalgesia, allodynia, longterm potentiation, and wind-up [42-46]. Several clinical trials in neuropathic pain conditions have confirmed beneficial effects of ketamine in the therapy of chronic pain. In a randomized controlled trial of postherpetic neuralgia, iv ketamine significantly reduced pain, allodynia, and hyperpathia [47]. Similarly, intravenous ketamine has been shown to produce significant pain relief and reduction of wind-up pain in a randomized controlled trial of chronic phantom pain [48]. A randomized trial of intramuscular ketamine provided 24 hours of significant pain relief in patients with facial neuralgia [49]. Several trials have noted longterm affects of ketamine that outlast its pharmacological profile [18-20,49]. In addition, animal and clinical studies have demonstrated that the efficacy of ketamine is dose-dependent [19,43,44,50]. As the incidence and degree of ketamine side effects also depends on dosage, most trials in pain medicine have been performed with low doses [14]. This trial of anesthetic dosage of ketamine in refractory CRPS, as well as the first patient treated on a compassionate care basis [51] demonstrated longterm significant pain relief that outlasts its pharmacological profile.

Many aspects of the pathophysiology of CRPS remain unclear. Recently, CRPS has been posited to be a disease of the CNS [7]. The molecular mechanisms underlying CRPS are hindered by lack of an exact animal model that is completely valid for this complex clinical entity [52]. Its characteristic signs and symptoms may occur as a consequence of dysregulated efferent central control of several systems (i.e., somatosensory, motor, and sympathetic) and appears to be maintained from a
peripheral sensitizing afferent nociceptive barrage. The molecular mechanisms responsible for inducing and maintaining these lasting and selfmaintaining neuroplastic changes in CRPS are not known but there is evidence for NMDA-receptor mediated neuronal plasticity and facilitation of central pain processing [8]. Another potential mechanism underlying the syndrome is injury induced activation of central microglia that secrete inflammatory cytokines which activate central pain projecting neurons [53]. The relative importance of mechanisms for central sensitization mediated by the NMDA-receptor and subsequent calcium cascades or effects of inflammatory cytokines on pain transmission neurons or both in concert is not known [17,21]. Recent evidence in a rat model of neuropathic pain demonstrated a comparable long-term suppression of allodynia by ketamine that outlasted the duration of its NMDA blockade [50]. Thus, down-regulation of central sensitization mediated by NMDA-receptor blockade might explain in part long-term effects of ketamine in neuropathic pain.

Other relevant mechanisms mediated by ketamine that contribute to pain relief in these patients must be considered. These include potential modulation of peripheral NMDA- and non-NMDA-receptors. Ketamine inhibits peripheral glutamate receptors which play a role in both peripheral and subsequent central sensitization [54]. In addition, ketamine interacts with various receptors involved in nociception that include AMPA and kainate glutamate receptors, voltagedependent ion channels, sodium and L-type calcium channels, opioid receptors ( $\mu-, \kappa$-, and $\delta$-opioid receptors), GABA $_{A}$-receptors, and nicotinic and muscarinic acetylcholine receptors [15]. Ketamine induced inhibition of nitric-oxide synthase might also contribute to its analgesic effects [15]. As noted above, proinflammatory mediators are known to play an essential role in the processes of peripheral and central sensitization [55]. Ketamine induces a profound inhibition of proinflammatory cytokines and other inflammatory mediators, both in experimental and clinical studies $[15,22]$. A recent study demonstrated significant increases in proinflammatory cytokines in the cerebrospinal fluid of CRPS patients, which suggests a potential role of neuroimmune activation in CRPS [56]. The anti-inflammatory effects of ketamine administered in anesthetic doses may also play a role in its effects on these patients. Alternatively or in addition to ketamine, midazolam and clonidine may also contribute to the
effectiveness of this treatment. Clonidine, a central $\alpha_{2}$-adrenergic agonist, has analgesic properties [57]. Its analgesic potency is weak but has effect when administered by epidural, intrathecal or a transdermal route. Although the analgesic effects of intravenous clonidine are controversial, a synergistic interaction with ketamine in our patients is possible [57]. Another synergistic effect of this treatment may be due to midazolam, a short-acting $\mathrm{GABA}_{\mathrm{A}}$ agonist. In the course of central sensitization, GABA-ergic inhibitory transmission is depressed by NMDA-dependent mechanisms which leads to prolonged depression of inhibitory transmission and thus potentiation of central pain projecting neuron hyperexcitability [17,58]. The large doses of midazolam administered during treatment would be expected to enhance GABA-ergic induced inhibition during this treatment while its role as an analgesic is unclear $[17,58]$. The possible contributions of the placebo effect and or resetting of pain processing mechanisms due to 5 days of anesthesia in the beneficial effects of this treatment are unknown.

A most relevant concern of this invasive procedure is patient safety. Modern intensive care medicine standards achieve a high level of patient safety. Ketamine has been safely used for over 30 years in clinical anesthesia and also in intensive care. However, a potential concern is NMDARantagonist induced neurotoxicity that has been demonstrated in animal experimental work in the developing and adult rat brain [59]. Neurotoxic effects are prevented by administration of clonidine and GABA $_{A}$-agonists $[60,61]$. To the best of our knowledge, neurotoxicity of ketamine to date has not been demonstrated in humans [62]. Initial studies investigating ketamine sedation in brain injured patients in the intensive care setting were not associated with significant morbidity or mortality $[63,64]$. However, these studies were not powered for a valid assessment of safety. The reported duration of ketamine sedation (6.1 $\pm 3.2$ days) and the dosage of ketamine (maximal dose: $94 \pm 23 \mu \mathrm{~g} / \mathrm{kg} / \mathrm{min}$ ) are comparable to our study (5 days of sedation; maximal dose: $\sim 84 \mu \mathrm{~g} / \mathrm{kg} / \mathrm{min}$ ) [63].

Nonetheless, it must be emphasized that this protocol is associated with serious risks. The major complications observed in this study were respiratory and urinary tract infections, representing typical infections in intensive care. Although, in this series, infections resolved under antibiotic treatment, it must be emphasized that infectious complications still represent the main source of
morbidity and mortality in modern intensive care medicine. Transient ketamine-specific psychotropic side effects occurred on emergence from ketamine anesthesia and were successfully controlled by benzodiazepines and clonidine. There were no long-term psychiatric or cognitive impairments in any patient [65]. Moderate muscle weakness persisted for a month to 6 weeks.

In addition to all of the limitations inherent in a nonrandomized uncontrolled trial, there are several other limitations of this study: 1) the movement disorder, social integration, activities of daily living and ability to work measures were subjective and have not been validated in CRPS patient; 2) the CRPS patient population studied is not representative of that seen in most pain centers as it is drawn from the entire USA; 3) the mechanism of the spread of other validated factors of CRPS from the area of original injury is not known. The severity of this clinical component in these patients is unusual and may represent or be a consequence of the role of central glia pathophysiology in chronic pain states, central sensitization, functional reorganization of pain processing systems or dysfunction of descending pain control mechanisms. The area of primary CRPS may be maintaining a more generalized pain state.

A complete double blind placebo controlled randomized clinical trial would be logistically and ethically at least difficult, but its realization represents a major challenge of future work to possibly confirm the observed effect.

## Conclusion

This phase II open-label study utilizing anesthetic doses of ketamine with midazolam and clonidine suggests possible effectiveness for severe CRPS patients that have failed all available standard therapies. A definitive, large multicenter randomized controlled trial is needed to confirm these results.

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Appendix 1: The validated criterion factors for CRPS and their location in the 20 patients of this study (Harden RN, Bruehl SP. Diagnostic Critera: The

| Demographics | Triggering Injury Site of Primary CRPS | Abnormalities in Pain Processing Factor 1 | Skin Color and Temperature Changes Factor 2 | Factor Vasomotor and Sudomotor/Edema Changes Factor 3 | Motor Dysfunction and Trophic Features Factor 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| No. 1 <br> 16 yo female CRPS 8 months Baseline Pain NRS 9 ASA Class I | Strain injury right right hand, wrist; brachial plexus traction injury | All brachial plexus distributions right, V1-V3 facial distributions Hyperalgesia to pinprick; spontaneous burning pain; evoked shooting pain; mechanical allodynia (dynamic and static); thermal allodynia to cold | Temperature asymmetry: increased right arm and face > left; erythematous right arm and face; fluctuating erythema right arm and face, to lesser degree left side of the face | Massive edema of the entire right arm, edema right shoulder and face; hyperhidrosis greater right arm than left | Decreased range of motion right hand (unable to flex fingers at all) and arm; weakness of distal hand muscles; decreased ability to initiate finger movements; increased nail ridging; increased hair growth right hand and forearm |
|  | Clinical criterion factors that were positive in a contiguous distribution | Positive in upper trunk left brachial plexus and left facial distribution (hyperalgesia to pinprick; mechanical dynamic and static allodynia; cold allodynia) |  |  | Decreased ability to initiate movement of fingers of left hand |
| No. 2 <br> 26 yo female <br> CRPS 12 months <br> Baseline Pain NRS 9 <br> ASA Class I | Right brachial plexus traction injury | All brachial plexus distributions, V1-V3 facial distributions bilaterally Hyperalgesia to pinprick; spontaneous burning pain; evoked shooting pain; mechanical allodynia (dynamic and static); thermal allodynia to cold | Temperature asymmetry: increased right arm and face > left; erythematous right arm and face; fluctuating erythema right arm and face | Edema of right arm and face; hyperhidrosis greater right arm than left | Decreased range of motion right hand (unable to completely flex fingers); weakness of distal hand muscles; decreased ability to initiate finger movements; increased nail ridging; loss of hair right hand and forearm |
|  | Clinical criterion factors that were positive in a mirror distribution | Positive in upper trunk left brachial plexus distribution (hyperalgesia to pinprick; mechanical dynamic and static allodynia; cold allodynia) |  |  | Decreased ability to initiate movement of fingers of left hand |
| No. 3 <br> 25 yo male <br> CRPS 24 months <br> Baseline Pain NRS 9 <br> ASA Class II | Right brachial plexus; Hodgkin's disease; compression of the brachial plexus by lymphoma | Right brachial plexus; V1-V3 Hyperalgesia to pinprick; spontaneous burning pain; evoked lancinating and tingling pain; deep ache; mechanical allodynia (dynamic and static); joint pain (small joints of the fingers); thermal allodynia to cold | Temperature asymmetry: increased in the right arm and face > the left arm and face; erythematous entire right arm and face; no fluctuation of erythema in right arm and face | Severe edema of the face; moderate edema of the right arm and hand; hyperhidrosis bilaterally of the arms and right face; edema static in right arm and face | Difficulty initiating fine finger movements right hand; weakness of dorsal and volar interossei and abductor pollicis brevis right hand; supraspinatus and infraspinatus weakness of the right arm; occasional myoclonic jerk of right arm; increased nail growth, ridging and thickness of fingers of right hand |
|  | Clinical criterion factors that were positive in the left arm and hand | Hyperalgesia to pinprick; mechanical dynamic and static allodynia; cold allodynia; less severe spontaneous burning pain; less severe evoked lancinating pain | Normal temperature arm and face; slight erythema of the left ear; static color change of the ear (eryrhema) |  | Slightly slow initiation of movement; full strength; no adventitial movement; full range of movement |

Decreased range of motion of all
fingers of right hand; unable to flex
the fingers fully; weakness of all
distal muscles of right hand;
exaggeration of physiologic tremor of
right upper extremity; occasional
myoclonic jerk of right upper
extremity; flexion dystonic posture of
arm and wrist; motor neglect of right
arm; loss of hair on the right
forearm; thickened ridged and brittle
nails of right hand
Slight difficulty initiating and
maintaining left hand finger
movements
Constant edema of the right
hand, arm and face;
hyperhidrosis of right arm and
face; constant edema of right
face and hand; symptoms of
Temperature asymmetry; right arm and Temperature asymmetry; right arma of the
face $>$ than left (higher); erythema
right arm and face; normal skin color of
the left arm and face; fluctuating color

Hyperalgesia to pinprick right face,
arm, anterior chest and total leg;
spontaneous burning pain, deep
ache entire right side of the body;
ache entire right side of the body;
severe right L5-S1 distribution
severe right L5-S1 distribution
lancinating pain; mechanical,
dynamic and static allodynia of
entire right side of the body; thermal
entire right side of the body; thermal
allodynia to both cold and heat; cold
allodynia spread six inches to either


Tactile mechanical dynamic and
static allodynia (upper and lower
trunk, brachial plexus distributions
on the left); hyperalgesia to pinprick
(upper and lower trunk brachial
plexus distributions); cold allodynia
(upper and lower trunk); left arm
Mechanoallodynia (static and
dynamic) of the right upper leg in
regional distribution
Clinical criterion factors that
were positive in other than
primary areas of involvement
right arm
Clinical criterion factors that
were positive in other than
primary areas of involvement
right leg
Additional features
Severe non-fluctuating venous
distention in hand and forearm
veins; L4-L5; L5-S1 radicular
motor and sensory changes on
the left side; erythematous ear
on the right; upper trunk of
on the right; upper trunk of


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upper extremity; spontaneous
burning pain; lightening-like pain dynamic and static allodynia; cold

to pressure of right upper extremity
in regional distribution
Mechanoallodynia, (static and
dynamic) of the right face
dynamic) of the right face
Allodynia and hyperalgesia to

face and thigh
Right brachial plexus traction
injury
No. 4
46 yo female
CRPS 60 months
Baseline Pain NRS 9.5
ASA Class II
No. 5
29 yo female
Clinical criterion factors that
were positive in contiguous
Additional features
Pain in bioccipital te
Pain in bioccipital tendon;
severe Tinel signs with
severe Tinel signs with
compression of the
supraradicular fossa, pronator
canal, Arcade of Frohse and
instability; touch on the arm
spread to the face
Appendix 1: Continued

| Demographics | Triggering Injury Site of Primary CRPS | Abnormalities in Pain Processing Factor 1 | Skin Color and Temperature Changes Factor 2 | Factor Vasomotor and Sudomotor/Edema Changes Factor 3 | Motor Dysfunction and Trophic Features Factor 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| No. 6 46 yo female CRPS 72 months Baseline Pain NRS 8.5 ASA Class III | Crush injury of the right ankle and foot; operative osteosynthesis of the right foot | Hyperalgesia to pinprick of right lower extremity, burning pain most severe at the site of the original foot surgery; joint pain right foot; lightening-like pains right foot and leg | Colder right leg to the knee than left; erythema of the right lower extremity | Edema of right lower extremity and face; stiffness and decreased mobility from swelling of right foot and hand; hyperhidrosis of the right lower extremity, hyperhidrosis and edema of the right leg to the thigh, vasomotor and sudomotor changes V1-V3 right face | Difficulty initiating movement; weakness of inversion, dorsiflexion and eversion of the right decreased range of motion to all planes of the right; feeling as if the right leg would lose stability; thickened, brittle nails; loss of hair over the distal lower third of the leg and foot |
|  | Clinical criterion factors that were positive in contiguous ipsilateral extremities (leg and upper extremity), face and mirror foot distribution | Right contiguous leg, upper extremity and face); hyperalgesia to pin prick; spontaneous burning, lancinating and deep pain; mechanical, dynamic and static allodynia; deep muscle sensitization; cold allodynia (right face $>$ left arm) | Increased temperature of the right face; decreased temperature of the right upper extremity and right lower extremity | Hyperhidrosis; dusky cyanosis right arm and upper thigh | Poor initiation and maintenance of fine movements; weakness of external hallices longus right upper extremity; decreased initiation of movement left toes and ankle |
|  | Additional features Severe tenderness in area of surgical scar; spread of stimulus (pinprick and cold) from the right foot to the right face; V2,edema and hyperhidrosis of right face, most severe; spontaneous burning pain |  |  |  |  |
| No. 7 <br> 28 yo female CRPS 60 months Baseline Pain NRS 9.5 ASA Class III | Trauma to the lower back and right leg | Hyperalgesia right lower extremity to <br> pinprick; severe spontaneous buming pain of right lower extremity (regional distribution); deep knee joint pain; pain at the injury site of the right lower back; mechanical, dynamic and static allodynia right leg; cold allodynia right lower extremity | Right leg slightly colder than left leg; both colder than normal; fluctuating erythema of the right lower extremity | Edema of right leg (severe); hyperhidrosis of right leg > left; edema not fluctuating (compounded) by the patient being wheelchair bound | Unable to initiate movement of the toes; weakness of all muscle groups of the right leg; decreased range of motion of the right foot, myoclonic jerks; dystonia right foot; posture (plantar flexed and inverted); thickened nails; brawny edema; areas of dystrophic skin |

Right upper extremity warmer than left Moderate edema of the right Poor initiation and maintenance of finger movement; weakness of all
muscle groups of the right upper
extremity; myoclonic jerks and
Unable to initiate movement of the
toes or lower leg; minimal movement toes or lower leg; minimal movement
of iliopsoas; myoclonic jerks; of iliopsoas; myoclonic jerks;
dystonia of the left foot (inverte plantar flexed); thickened, ridged
and brittle nails, shiny thin skin of
Poor initiation and maintenance of fine movement of toes on the right;
 extensor hallucis longus on the right; minimal nail, hair and skin change of
the right leg and foot
Ipsilateral right arm; left leg poor
initiation of movement
Moderate edema of the right
hand; hyperhidrosis compared to
hand; hyperhidrosis compared to
left upper extremity; nonvarying
degree of edema of right upper
Edema of right > left upper
extremity; hyperhidrosis > left upper extremity; constant edema
of the face
Edema of the left leg > distally


Edema of right knee area;

asymmetry > than left knee and
leg; variable edema after
exercise (swimming and
V1-V3 edema and hyperhidrosis
right face
Right upper extremity warmer than left
upper extremity; erythematous right arm
and hand; varied with pain, movement
Warmer than the left face (particularly
Cooler than right leg; minimal erythema
compared to right leg; color change

Temperature asymmetry (warmer) than
left knee; erythema of right knee; livedo


$\left.\begin{array}{ll}\begin{array}{l}\text { Clinical criterion factors that } \\ \text { were positive in contiguous } \\ \text { areas of the ipsilateral extremity, } \\ \text { face and contralateral leg }\end{array} & \begin{array}{l}\text { Ipsilateral arm hyperalgesia to } \\ \text { pinprick; dynamic and static } \\ \text { mechanoallodynia; cold and hot } \\ \text { allodynia; joint pain; deep muscle } \\ \text { allodynia to pressure; spontaneous } \\ \text { burning pain, lightening-like pain; } \\ \text { tingling pain }\end{array} \\ \text { Face right side (V1-V3) hyperalgesia } \\ \text { to pinprick; dynamic and static } \\ \text { mechano allodynia; cold allodynia }\end{array}\right]$
Appendix 1: Continued

| Demographics | Triggering Injury Site of Primary CRPS | Abnormalities in Pain Processing Factor 1 | Skin Color and Temperature Changes Factor 2 | Factor Vasomotor and Sudomotor/Edema Changes Factor 3 | Motor Dysfunction and Trophic Features Factor 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| No. 9 <br> 22 yo female <br> CRPS 72 months <br> Baseline Pain NRS 9 <br> ASA Class II | Tendon rupture digit IV; operative repair in the right hand | Hyperalgesia to pinprick of right upper extremity; dynamic and static mechanoallodynia of the entire right upper extremity but more severe in the lateral hand; spontaneous deep ache and burning lancinating pain of the right hand and right upper extremity | Temperature asymmetry, primarily warmer of right versus left hand; erythema of right hand; color change varied from erythema to dusky cyanosis; livedo reticularis of right upper extremity most severe in the medial forearm | Edema of right hand (all fingers); sweating asymmetry right > left upper extremity; most severe in the hand; non-varying right hand edema | Weakness of all intrinsic hand muscles on the right; decreased range of motion right hand; unable to close fingers into a fist; increased physiologic and intention tremor; spontaneous dropping objects; shiny skin of the dorsum of the right hand; loss of subcutaneous tissue digit IV, V; brittle, ridged and thickened nails right hand |
|  | Clinical criterion factors that were positive in the ipsilateral upper and lower extremity; ipsilateral face and contralateral hand | Ipsilateral arm and leg; ipsilateral face (V1-V3) spontaneous burning pain, hyperalgesia to pin prick | Ipsilateral arm and leg; ipsilateral face (V1-V3) hyperhidrosis and warmer; contra lateral hand (much less degree than R hand) | Ipsilateral arm and leg; ipsilateral face (V1-V3) hyperhidrosis and edema; contra lateral hand (much less degree than right hand) | Ipsilateral arm and leg; contralateral hand (poor initiation of finger and toe movement) |
|  | Additional features Positive Tinel signs in supraclavicular fossa, Arcade of Frohse, pronator canal, cubital tunnel right > left; spread of pinprick from the hand to the face and arm |  |  |  |  |
| No. 10 19 yo female CRPS 60 months Baseline Pain NRS 9 ASA Class II | Fracture of metatarsal V of the right foot | Hyperalgesia to pinprick of the right leg and right foot at area of injury (most) severe; dynamic and static mechano-allodynia of the right foot; cold allodynia of the right foot; spontaneous burning pain of the foot; most severe pain at the area of the original fracture; evoked lancinating pain and deep ache with walking; occasional spontaneous squeezing pain of the right foot and leg | Temperature asymmetry right foot colder than left; fluctuates with occasional right foot warmer than left; color change with erythema alternating with dusky cyanosis of right foot > left foot; heat and cold evoked erythema or cyanosis respectively | Edema of dorsum of right $>$ left foot; sweating asymmetry right > left foot; position (dependent) increased edema but always present right > left foot | Decreased extension and flexion of the right foot; stiffness of the right foot; slight dystonia at rest with plantar flexion and inversion of right foot; rare myoclonic jerk of the right side |
|  | Clinical criterion factors that were positive in the ipsilateral face and extremities | Left leg; right arm; right face (V1-V3) spontaneous pain and mechanoallodynia (static and dynamic) | Left leg; right face (V1-V3) usually erythematous but alternating blanched | Left leg; right face (V1-V3) edematous and usually dusky and cyanotic | Left leg; right arm; left arm; left foot; poor initiation of movement; weakness of left intrinsic hand muscles |
|  | Additional features Positive Tinel signs bilaterally supraclavicular fossa, Arcade of Frohse, pronator canal, foramina exit areas $\mathrm{C} 2-\mathrm{C} 3$; spread of pinprick, hyperalgesia and cold stimulus to the entire right sidefrom a stimulus to right foot. |  |  |  |  |

Decreased range of motion of right
hand in extension; inability to close
hand into a fist; poor initiation of fine
finger movement; spasm and
myoclonus (variable of right upper
extremity weakness of dorsal and
volar interossei and abductor
pollices brevis; slight deltoid. biceps,
triceps, supraspinatus weakness;
brittle, ridged nails; loss of
integument digit IV, V
Ipsilateral leg; contralateral upper
extremity decreased ability to initiate
movements, weakness of intrinsic

Decreased range of motion of
flexors of the hand and proximal
shoulder girdle muscles; motor
neglect of the right; increased
physiologic tremor; atrophy of
integument of digit IV, V; brittle ridge
nails on right hand; loss of right
forearm hair
Right leg decreased initiation of
movement Edema of the right upper
extremity; hyperhidrosis
right > left upper extremity;
pitting edema (mild) of forearm Edema and hyperhidrosis V1-V3
of ipsilateral face, ipsilateral leg;
upper extremity extremity
primarily the hand
Temperature asymmetry right > left upper
extremity; erythema of right > left upper
extremity; variable color change with
emotional stress, exercise and cold
Hyperalgesia to pinprick right upper
extremity; dynamic and static
mechanoallodynia of right upper
extremity; cold and warm allodynia
of right upper extremity;
spontaneous burning pain; deep
ache; evoked lancinating pain;
painful tingling of entire right upper
extremity; spontaneous pain worse
right brachial plexus distributions

Spontaneous pain in ipsilateral face
(V1-V3 3); ipsilateral leg;
contralateral upper extremity both in
a regional distribution; dynamic and
static mechanoallodynia in the same
distributions
Trauma to right shoulder and
right arm (blunt trauma from
falling object)
No. 11
20 yo female
CRPS 36 months
Baseline Pain NRS 9
ASA Class II
Additional features
Positive Tinel signs in the
supraclavicular fossa; exit
foramina of C2-CVasomotor and
Sudomotor/Edema Changes,
CVasomotor and
Sudomotor/Edema Changes-C4;
pronator canal, cubital and
carpal tunnel; dystrophic
punched out skin ulcers; brown
papular skin lesions of right
upper extremity
Trauma to right shoulder and
right arm
Clinical criterion factors that
were positive in right face and
leg and left arm
Hyperalgesia to pinprick of the entire
upper extremity; dynamic and static upper extremity; dynamic and static extremity; cold allodynia right upper extremity; allodynia to deep somatic
pressure; painful joint movement
(both small and large joints of right
emperature asymmetry; right upper
xtremity colder than left; cyanotic,
luish, livedo reticularis right > left upper 를

Erythema and increased temperature of
V1-V3 of right face upper extremity)

Edema and hyperhidrosis of the
right face (V1-V3)
right face (V1-V3)


Appendix 1: Continued

| Demographics | Triggering Injury Site of Primary CRPS | Abnormalities in Pain Processing Factor 1 | Skin Color and Temperature Changes Factor 2 | Factor Vasomotor and Sudomotor/Edema Changes Factor 3 | Motor Dysfunction and Trophic <br> Features <br> Factor 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| No. 13 <br> 38 yo female <br> CRPS 24 months <br> Baseline Pain NRS 9 <br> ASA Class III | Crush injury digit III right hand, postoperative wound infection and amputation of the digit | Hyperalgesia to pinprick of the entire $R$ hand and arm, site of amputation scar most severe; dynamic and static mechanoallodynia of the entire R arm; deep somatic sensitization and allodynia of forearm and upper arm musculature; joint pain in the hand with movement | Temperature asymmetry right hand colder than left; dusky cyanosis of the right hand > left; livedo reticularis right forearm > left; color change right hand variable with emotional stress, cold and exercise | Edema of right hand $>$ left hand; hyperhidrosis of the right upper extremity > than left; swelling of the hand increased with use and dependency | Decreased range of motion of all joints in right hand; decreased ability to clench the hand into a fist; weakness of intrinsic hand muscles; poor initiation and maintenance of fractionated finger movement; dystonic hand posture (flexion); thin atrophic skin; brittle, thickened and atrophic nails |
|  | Clinical criterion factors that were positive in right face and right leg; left arm |  | Right face (V1-V3) erythematous, warmer than leg | Edema and hypergidrosis of right face, right leg and left arm | Right leg; poor initiation and fine movements |
|  | Additional features Positive Tinel signs bilaterally in supra clavicular fossa; Arcade of Frohse, pronator canal, cubital tunnel and carpal tunnel; spread of cold stimulus approximately 12 inches from the hand up the arm; spread of pinprick from the $R$ hand to the ipsilateral face on the R |  |  |  |  |
| No. 14 <br> 19 yo male <br> CRPS 84 months <br> Baseline Pain NRS 9 <br> ASA Class II | Sprain injury of the right hand | Hyperalgesia to pinprick over the entire right upper quadrant; spontaneous burning pain in right brachial plexus distributions; chronic deep somatic aching pain; evoked paroxysmal pain in right brachial plexus distributions; mechanical, dynamic and static tactile allodynia of the brachial plexus distributions and V1-V3 | Temperature asymmetry, the right hand and arm warmer than the left; erythema of the right arm and face to a greater degree than the left; erythema increased with provocative maneuvers of stress and cold | Edematous right arm and face; hyperhidrosis of right arm and face; tightness of soft tissues of hand and forearm; eye almost swollen shut during severe exacerbations | Weakness of intrinsic right hand muscles; decreased range of motion of right fingers and right wrist; inability to initiate fine movements; increased physiologic tremor of right hand; brittle, ridged nails of right hand; some hair thickened on right forearm |
|  | Clinical criterion factors in other area of CRPS involvement |  | Right face (V1-V3) erythematous | Edema primarily dorsum of the forearm and hand on the left side | Left arm weakness of intrinsic hand muscles; decreased initiation of movement of left toes |
|  | Additional features <br> Spreading pain from a cold stimulus 6-7 inches from site of application right side; spreading pain from pinprick stimulus fromthe arm to the face |  |  |  |  |

Decreased range of movement of
left finger and wrist extensor and
flexor muscles; inability to fully make
a fist in left hand; poor initiation of
movement of the left hand;
weakness of dorsal and volar
interossei, abductor pollices brevis of
the left hand; brittle, thickened nails
of all fingers of the left hand; slight
loss of hair on the left forearm
Weakness of dorsal and volar
interossei; left leg; right arm;
Edema of $L$ hand, arm; sweating
asymmetry with hyperhidrosis of

the $L$ arm and upper extremity; $\quad$\begin{tabular}{l}
Decreased range of motion of all <br>
fingers in flexion and extension left <br>
hand; weakness of intrinsic hand <br>
to a greater degree with evoked <br>
dependency and use

$\quad$

muscles; motor neglect of the left <br>
side; brittle, thickened, ridged nails <br>
in the left hand; shiny thin skin of left <br>
hand
\end{tabular}

Temperature asymmetry left upper
quadrant > than right; redness of the left
upper extremity and face (primarily
V2-V3); erythema increased with
provoking factors of stress



Left face (V1-V3) erythematous and
warmer
Hyperalgesia to pinprick over the
entire left upper extremity;
spontaneous burning pain over the neck, face, arm and chest (abdomen spared); mechanical tactile dynamic
and static allodynia over the left
upper quadrant and face; triceps,
forearm muscles; cold allodynia over
the left upper quadrant including the
neck and face; small and large joint
pain with movement
Right upper trunk brachial plexus;
allodynia); right arm, hyperalgesia to
pinprick and mechanoallodynia
(static and mechanic)
Hyperalgesia to pinprick over the
entire left upper quadrant;
spontaneous burning and lancinating
spontaneous burning and lancinating
evoked tingling pain with movement; mechanical, dynamic and static
allodynia in brachial plexus Left face (V1-V3) edematous
and slight hyperhidrosis
Edema of left face, arm and
hand; hyperhidrosis of left face,
hand; hyperhidrosis of left face,

emotional stress and position of | 를 |
| :---: |

movement

Clinical criterion factors in other
area of CRPS involvement
Additional features
Positive Tinel signs at
supraclavicular fossa, pronator
canal, cubital tunnel and Arcade
of Frohse; spreading pain from
Arnold Chiari repair operation;
snxәןd ןe!
Clinical criterion factors in other
areas of CRPS involvement
Additional features
Positive Tinel signs at
neurovascular bundle, Arcade of
Frohse and pronator canal; cold
allodynia and pinprick stimulus
spread from the hand to the
shoulder and face
No. 15
36 yo female
CRPS 60 months
Baseline Pain NRS 9
ASA Class II
ale
No. 16
ASA Class II
Appendix 1: Continued

| Demographics | Triggering Injury Site of Primary CRPS | Abnormalities in Pain Processing Factor 1 | Skin Color and Temperature Changes Factor 2 | Factor Vasomotor and Sudomotor/Edema Changes Factor 3 | Motor Dysfunction and Trophic Features <br> Factor 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| No. 17 <br> 48 yo female CRPS 72 months Baseline Pain NRS 8.5 ASA Class II | Extension/distention trauma of the right hand | Hyperalgesia to pinprick of the right arm in a regional distribution; spontaneous burning pain, deep ache, tingling of right arm; evoked lancinating pain with movement; dynamic and static mechanoallodynia of the right arm; deep somatic sensitization of right arm; small joint pain of the right hand; cold allodynia of the right arm | Temperature asymmetry, right arm warmer than the left; minimal erythema of the right hand; color change increased with use, dependency and temperature change | Edema of right hand; sweating asymmetry right > left hand; minimal change (increase) of edema with dependency and use | Decreased range of motion (flexion and extension of fingers and wrist) of right hand; weakness of right hand dorsal and volar interossei, flexor pollicis longus and opponens pollicis; increased physiologic tremor of right hand and arm; brittle nails and shiny skin of right hand Right leg; left arm; weakness of intrinsic hand muscles right hand; poor initiation of toe movements right leg |
|  | Clinical criterion factors in other areas of CRPS involvement <br> Additional features <br> Positive Tinel signs supra and infraclavicular fossa; neurovascular bundle, pronator canal; spread of pinprick stimulus from the right hand to the right arm and face (V2) |  | Right face (V1-V3) erythematous and warmer and upper thigh of the right leg warmer than left | Right face (V1-V3) edematous and slight hyperhidrosis |  |
| No. 18 41 yo female CRPS 84 months Baseline Pain NRS 9 ASA Class II | Motor vehicle accident; extension/flexion injury of the brachial plexus on the right | Hyperalgesia to pinprick right arm in a regional distribution; spontaneous burning pain; deep somatic pain; joint pain of the right hand; evoked tingling and hand pain with movement; dynamic and static mechano allodynia of all brachial plexus distributions of the right upper extremity; cold allodynia and heat allodynia right arm and hand > than the shoulder | Temperature asymmetry right arm colder than left; erythema of right arm and hand; color change varies throughout the day; at times spontaneously and at other times by emotional stress; movement and temperature change | Swelling of dorsum $>$ ventral areas of the hand; swelling of the arm and shoulder; hyperhidrosis > right than left upper extremity; swelling increased with arm use | Weakness of all intrinsic muscles of the hand; poor ability to oppose thumb and forefinger; spontaneous myoclonic jerks; exaggeration of her physiological tremor of the right arm |
|  | Clinical criterion factors in other areas of CRPS involvement | Right face (V1-V3) hyperalgesia and mechanoallodynia (dynamic and static) | Warmer and erythematous right face | Right face (V1-V3) edematous and hyperhidrotic | Right leg; left arm poor initiation of movement |
|  | Additional features Spreading pain from pinprick and cold stimuli from the hand to entire extremity and to contralateral face; positive Tinel signs supra and infraclavicular fossa, neurovascular bundle, Arcade of Frohse, pronator canal, cubital tunnel left > right arm |  |  |  |  |


| No. 19 14 yo female CRPS 7 months Baseline Pain NRS 9 ASA Class III | Brown recluse spider bite of the inner right thigh | Hyperalgesia to pinprick of the entire right leg in a regional distribution; most severe surrounding the area of the spider bite; spontaneous burning pain of the entire thigh; severe burning in the six inches surrounding the envenomation site; deep somatic pain; joint pain at the knee; tactile and dynamic mechano allodynia of the right leg; cold allodynia most severe six inches surrounding the site of envenomation but affecting the entire thigh; deep somatic sensitization of all muscles of the thigh | Temperature asymmetry right leg warmer than the left; erythematous right leg; fluctuating color change due to activity, cold and emotional stress | Edema of the right leg (thigh > lower leg); hyper hidrosis of right leg; edema constant | Weakness of iliopsoas, quadriceps; difficulty initiating movements of right leg; dystonic plantar flexed inverted foot; patient unable to bear weight on right leg; thickened and brittle nails right foot |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Clinical criterion factors in areas other than primary CRPS region <br> Additional features Positive Tinel sign of the sciatic nerve in the right sciatic notch; posterior popliteal positive Tinel sign on the right; abnormal spreading pain from cold or pinprick stimuli up the entire leg if the patient receives the stimulus near the site of the original injury | Right face; right arm; right leg spontaneous pain, mechanoallodynia (Static and dynamic) and thermoallodynia to cold stimuli | Right face; right arm; right leg erythematous |  | Right arm; right leg poor initiation of movement of distal muscles |
| No. 20 <br> 33 yo female CRPS 63 months Baseline Pain NRS 9 ASA Class II | Tibial torsion fracture; osteosynthesis operation, left lower leg | Hyperalgesia to pinprick left knee and lower leg; spontaneous burning pain, deep ache of muscles and joints; provoked lancinating pain with movement or weight bearing; mechanical and thermal allodynia left leg | Temperature asymmetry; left leg colder than right; slight erythema of the left foot; fluctuation of color change with movement and weight bearing of left foot | Edema of left lower extremity below the knee (more severe in the foot); sweating asymmetry left lower leg > than right; hyperhidrosis fluctuated mildly with exercise, cold and emotional stress | Weakness of anterior tibialis, extensor hallucis longus and gastrocnemius muscle left leg; decreased range of motion at the ankle and knee joint; poor initiation and maintenance of movement right leg and toes; thickened, ridged, brittle nails left foot; atrophy, shiny skin left foot |
|  | Criterion factors in other than primary area of CRPS <br> Additional features <br> Spreading pain from cold stimuli from foot to the knee; and from pinprick stimuli from the foot to the left face | Left face (V1-V3), left arm; right leg spontaneous pain, mechanoallodynia (static and dynamic) and thermoallodynia to cold stimuli | Left face (V1-V3); right leg erythematous; cyanotic buish right leg |  | Left arm; right leg poor initiation of movement of distal muscles |


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